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# Modified Neuropixels probes for recording human neurophysiology in the operating room

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1 **Supplementary Information for**

2 **Title: Use of modified Neuropixels probes for recording human neurophysiology in the**  
3 **operating room**

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11 Supplementary Materials

12 **Supplementary Figure 1. Recording system and set up.**

13 **Supplementary Figure 2. Custom cable schematics and examples.**

14 **Supplementary Figure 3. Pre-procedure day noise testing in OR and effect of different**  
15 **overhead light sources on Neuropixels recordings.**

16 **Supplementary Figure 4. Open Ephys Software and set up.**

17 **Supplementary Figure 5. Messages and information using SpikeGLX.**

18 **Supplementary Table 1. Data processing parameters per processing step**

19

20 **Supplementary Video 1. Ongoing human brain neural activity recorded via Neuropixels**  
21 **using SpikeGLX and OpenEphys recording software, as recorded in the operating room.**

22 **Supplementary Video 2. Demonstration of the additional electrical noise from the wall-**  
23 **powered anaesthesia pump through the a saline tube while recording in saline and**  
24 **gelatine.**

25 **Supplementary Video 3. Setting up the Neuropixels probe in the sterile field to be**  
26 **connected to the recording system.**

27

## 28 **Recording system and set up**

29 Recording system and set up (**Supplementary Figure 1**) shows all the components of the  
30 recording system and the connections used in the recording system including task-related  
31 hardware.

## 32 **Custom Cabling: Making Parallel to BNC (Octopus) Cables**

33 These cables are created to enable trigger signals to allow for synchronization across systems  
34 (**Supplementary Fig. 2**).

- 35 1. Cut four ~60cm BNC cables in half - longer cables are fine, but ~30cm or slightly shorter is  
36 ideal to keep cables from being too unwieldy.
- 37 2. Use a razor to strip the ends of each cable approx. 2.5cm to reach the inside wires (keep  
38 both the signal [center] wire and shielding intact).
- 39 3. Cinch the shielding wire (mesh) down to expose the insulation covering the signal wire.
- 40 4. Strip the insulation for the signal wire, but keep enough so that the shielding and signal  
41 wires will not touch easily.
- 42 5. Solder the signal (center) wire of each BNC onto the top pins 2-9. When looking at the back  
43 of the connector, with the longer row on top, pin 1 is on the top left. Double check if needed  
44 with numbers that are usually printed on the front plastic face of the connector.

45

## 46 **Denoising Neural Recordings**

47 An ongoing challenge with any recordings in an operating room is that it is a relatively  
48 uncontrolled environment regarding electrical noise. Ambient electrical noise coming from the  
49 numerous devices used in the course of the surgery range from anesthesia machines  
50 (**Supplementary Video 2**), powered surgical tools, devices for brain visualizations and  
51 neuronavigation (BrainLab), ultrasound devices, robotic surgical tools (ROSA ONE® Brain -

52 Zimmer Biomet- robot), light sources, powered surgical beds, and powered thermal body and  
53 leg warmers. All of this can vary considerably with different operating rooms, some of which are  
54 equipped with moveable MRI or CT machines. Not all of these operating room devices are  
55 grounded to a common ground and the Neuropixels probe is sensitive to both electrical and  
56 visual (flickering) light (**Supplementary Fig. 3**). Therefore, noise represents a major obstacle to  
57 detecting single neuron activity using Neuropixels. To best accommodate for these noise  
58 sources, we tested several grounding and referencing schemes<sup>1</sup>. In our case, a critical step in  
59 reducing overall noise levels was to separate the ground and reference (in contrast to the  
60 generally proposed solution in rodent studies which involved tying them together <sup>2</sup> ). We used  
61 the external reference tied to a sterile needle electrode inserted into the nearby skin or muscle  
62 with the ground tied to a separate sterile needle electrodes inserted into a second location (**Fig.**  
63 **1**). Using the internal reference option resulted in considerably increased noise levels<sup>1</sup>. As to  
64 external noise sources, we found the major source in our case was the wall-powered anesthesia  
65 intravenous pump (as is frequently used during patient transport), which, when unplugged and  
66 operating on battery, would decrease the physiological as well as the common 60 Hz noise.  
67 Otherwise, we have not experienced any noticeable effect on noise when turning off other  
68 medical devices (BOVIE cautery machine, ROSA robot, AlphaOmega recording system, etc.)  
69 but these findings may be site- and case-specific. The Neuropixels probe contains a number of  
70 transistors sensitive to light flicker <sup>2,3</sup> which is present in certain OR lights (to variable degrees),  
71 but not in others. This issue was resolved when we asked the overhead OR lights be turned off  
72 during the recordings and have the field lit by a battery-powered headlamp. In conclusion, each  
73 external noise source should be investigated individually by the experimenters per site to find an  
74 optimal implantation and recording strategy. If possible, testing these devices in the OR without  
75 a patient in the room with the Neuropixels probe in saline can provide an excellent testing set up  
76 for identifying the best noise levels as needed (**Supplementary Figure 3; Supplementary**  
77 **Video 1**).

## 78 **Interfacing with the recording software: SpikeGLX and OpenEphys**

79 We recommend the use of both OpenEphys<sup>4</sup> (**Supplementary Figure 4**) and SpikeGLX  
80 (**Supplementary Figure 5; Supplementary Video 1**). SpikeGLX enables easy testing of the  
81 probe (**Supplementary Figure 5**) while OpenEphys currently allows better data visualization  
82 and considerable flexibility including online spike sorting. The instructions below assume some  
83 familiarity with the documentation associated with the software. It is recommended that users  
84 review the documentation for both Open Ephys and SpikeGLX as the documentation is quite  
85 informative in terms of Neuropixels use. With both OpenEphys and SpikeGLX, channel maps  
86 are needed to select the 384 contacts used to record out of all contacts on the Neuropixels  
87 probe. Channel maps are included with the software downloads. Also in both systems, the  
88 signal is acquired as local field potential (LFP, <500 Hz filtered data, sampled at 2500 Hz) and  
89 action potential (AP, >500 Hz filtered data, sampled at 30000 Hz) bands. This cannot be altered  
90 in the initial acquisition though can be filtered or downsampled further in OpenEphys if needed  
91 (please see OpenEphys documentation). We preferentially directly recorded these signals to be  
92 saved to file along with the TTL pulses from the separate NIDAQ base station (see below and  
93 the Protocol).

94 **Open Ephys (ver 0.6.0):** <https://open-ephys.org/qui>

95 **SpikeGLX (Release\_v20221012-phase30):** <https://billkarsh.github.io/SpikeGLX/>

96 1. Ensure Neuropixels calibration files (2 files per probe) are copied to C:\Program

97 Data\Open Ephys\CalibrationInfo\<probe\_serial\_number>

98 One file should be "<probe serial number>\_ADCCalibration.csv"

99 The other file should be "<probe serial number>\_gainCalValues.csv"

100 Open Ephys will be able to locate calibration files in this directory automatically. A copy

- 101 of each should also be placed in <SpikeGLX installation  
102 directory>\SpikeGLX\\_Calibration\<>probe serial number> for SpikeGLX use.
- 103 2. Ensure IMRO map files are in a known location and load properly when a Neuropixels  
104 probe is attached. IMRO maps are loaded through the Neuropixels-PXI plugin. Have at  
105 least one IMRO file use a tip reference and one use an external reference to best  
106 prepare for denoising processes in OR. A “long” map which uses channels along the  
107 entire length of the probe can be useful for estimating probe depth in the cortex.
- 108 3. Set up a signal chain in Open Ephys to be used for the OR recording (**Supplementary**  
109 **Figure 4**). A should be the Neuropixels-PXI plugin. Source B should be the NI-DAQmx  
110 plugin. Place visualization plugins (probe viewer; LFP viewer; spike detector + spike  
111 viewer; audio monitor) downstream from the record node.
- 112 CAUTION: There is one mode in OpenEphys where you can use a Merger plugin to  
113 merge the IMEC and NIDAQ streams of data. We attempted to use this mode but found  
114 that, if there were brief pauses in acquisition, the data was not acquired correctly or  
115 synchronized. Therefore, we recommend keeping the streams of data separate (IMEC  
116 and NIDAQ) and synchronizing through post-processing using a TTL pulse being sent to  
117 both systems simultaneously.
- 118 4. Test synchronization of data streams by sending triggers from MATLAB PC split to both  
119 the I/O module (BNC 2110) and IMEC PXIe boards via parallel port (through parallel to  
120 BNC connector). Ensure record node plugin shows green boxes on the sync monitor  
121 below the continuous data buffer monitor bars. (**Supplementary Figure 4**)

## 122 ?TROUBLESHOOTING

- 123 5. Select write path on record node and make sure there is adequate disk space for the  
124 recording
- 125 6. Select “Binary” as the recording engine from the dropdown menu on the record node

126 7. Ensure “RECORD EVENTS” box is selected (red with black dot)

127

## 128 **Electrode localization**

129 Below are a series of steps used to localize the electrode relative to the brain in the different  
130 cases (**Fig. 6**).

131 1. Electrode localization involves 3D mapping and preoperative and postoperative scans.

132 2. Following the surgery, the preoperative T1-weighted MRI is used to generate a 3D  
133 surface brain map using FreeSurfer scripts <sup>5-11</sup>(<http://surfer.nmr.mgh.harvard.edu>).

134 Images obtained during surgery, locations as indicated using the proprietary software

135 Brainlab (Brainlab, Inc.), and photographs captured during the surgery are aligned to the

136 3D reconstructions using Blender (v3.0) software (<https://www.blender.org/> ) and MMVT

137 (<https://github.com/pelednoam/mmvt>) <sup>7,12-15</sup> (**Fig. 6**).

138 3. For DBS cases, the physical limits imposed by the three-dimensional shape and location

139 of the burr hole result in only certain locations for the insertion of the Neuropixels probe.

140 Therefore, the postoperative CT after the DBS leads were implanted (after the surgery)

141 is overlaid on the preoperative MRI using Mango (<http://ric.uthscsa.edu/mango/>) <sup>14-16</sup> or

142 FreeView <sup>5,5,10,17</sup> to reconstruct the to-scale burr hole and DBS lead trajectories and map

143 them to the participants’ brains reconstructed using MMVT and FreeSurfer <sup>5-8,10-13</sup>.

144 4. The Neuropixels probe as a to-scale 3D model is then placed in Blender to arrive at the  
145 best approximation based on all this information.

146 5. For open craniotomy cases, the reconstruction involves projecting the surgical image

147 onto the patient’s reconstructed brain using Blender and then placing a 3D model of the

148 Neuropixels probe on that location similar to other coregistration approaches <sup>7,13,17-19</sup>

149 (**Fig. 6**). Angles are calculated from photographs taken during the surgery as well as

150 trajectories limited by the location and angle of the burr hole for DBS surgery

151

152 **Supplementary Table 1. Data processing parameters per processing step.** The steps and  
 153 parameters below detail the steps taken to produce the deidentified code which is then used for  
 154 motion registration with DREDge and then interpolation followed by single unit cluster sorting.  
 155 These parameters are simply some suggestions which can be altered depending on preference  
 156 and data sets. The code column refers to code and repositories listed in the README file here:  
 157 <https://github.com/Center-For-Neurotechnology/HumanNeuropixelsPipeline>

Step	Example code	Input	Output file and/or relevant parameters
Re-saving data for de-identification	/PreprocessingLoading/ ExampleFileDeID.m	SpikeGLX .bin files or OpenEphys .dat files with metadata files	Binary file (.bin) saved of the LFP, AP, and DAQ lines of information (SpikeGLX int16 format)
Channel map information from the saved data, OpenEphys	/PreprocessingLoading/ ElectrodeLocationsImportSaveOpenEphys.py  /PreprocessingLoading/ readingChannelPositionsOpenEphysJson.m	OpenEphys metadata files	XXXXXX_ChannelMap.mat file with the x and y coordinates of the recorded Neuropixels channels
Channel map information from the saved data, SpikeGLX	/PreprocessingLoading/ SGLXMetaToCoords.m	SpikeGLX metadata files	XXXXXX_ChannelMap.mat file with the x and y coordinates of the recorded Neuropixels channels
Selecting time and channel range to analyze (manual)	RelevantDataExamples/ PlottingLFP.m	LFP data, manual entry	Viewing neural data and then manual entry of the time range and channel range to be used in further analyses
Saving LFP data for use with DREDge	/PreprocessingLoading/ savingBinaryFilesorMotionRegistration.m	Binary file (.bin) saved of the LFP	All*.lf.bin (LFP files) and channel map (AllinBrain*_ChannelMap.mat) including the recording time range and channel range to use for motion registration
DREDge motion detection	<a href="https://github.com/evaryl/dredge">https://github.com/evaryl/dredge</a>	All*.lf.bin (LFP files) and channel map (AllinBrain*_Channel	DepthMicronsTracking.csv tracked motion in microns at 250 Hz sample rate

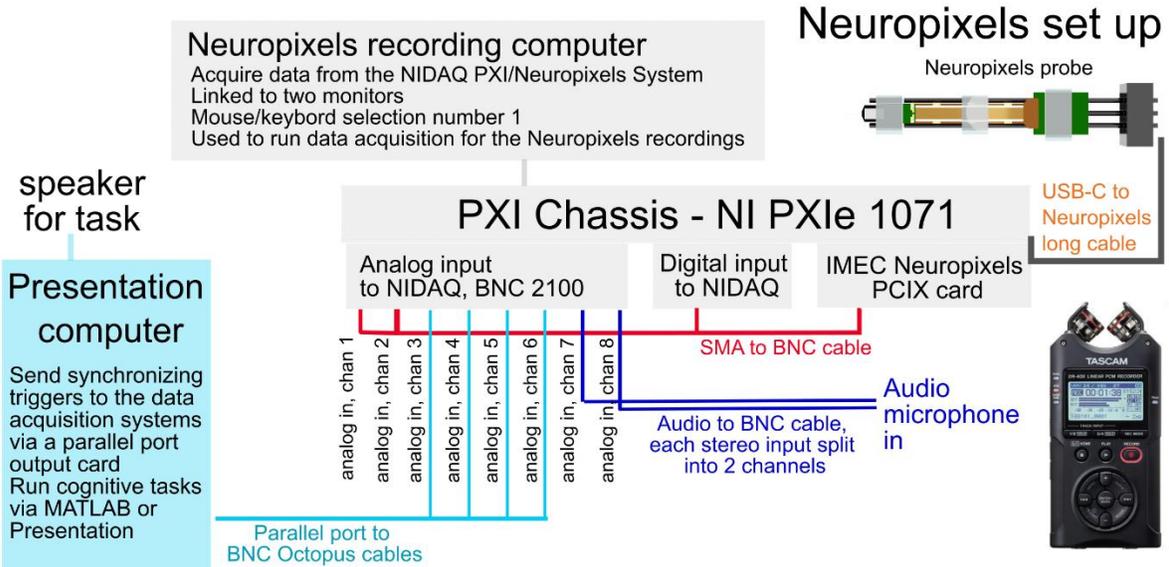
from the LFP	Example Jupyter Notebook:	Map.mat) including the recording time range and channel	
	Parameters (in the code):	<p>Filtered input data:</p> <ul style="list-style-type: none"> <li>• LFP downsampled to 250 Hz</li> <li>• Spatially filtered across contacts (csd setting)</li> <li>• Band-pass filtered between 0.5 and 250Hz</li> <li>• Analyzed to only include the chosen time range</li> </ul>	<p>Setting in DREdge steps:</p> <ul style="list-style-type: none"> <li>• Rigid online registration</li> <li>• Minimum correlation value between time steps: 0.8</li> <li>• Maximum displacement allowed = 50 channels</li> <li>• Include a prior in estimating the next step in motion</li> <li>• Adaptive correlation setting turned off</li> </ul>
Re-save AP range for interpolation	PruneRecording	<p>Binary file (ap.bin) saved of the AP lines of information (SpikeGLX int16 format)</p> <p>Channel and time range selected</p>	Binary file (ap.bin) saved of the AP lines of information (SpikeGLX int16 format) including the recording time range and channel range to use for motion registration and for further analysis
Interpolate the AP band data	<p>RealignWithDredge_Wrapper</p> <p>Uses Kilosort 2.5 code to perform the interpolation</p> <p>(interpolation currently applied at a rate of BatchSamplesNT = 128 with rate of 30000/BatchSamplesNT</p>	<p>Binary file (ap.bin) saved of the AP lines of information (SpikeGLX int16 format) including the recording time range and channel range to use for motion registration and for further analysis</p> <p>DepthMicronsTracking.csv tracked motion in microns at 250 Hz sample rate</p>	interpolated continuous AP band .dat file adjusted for motion

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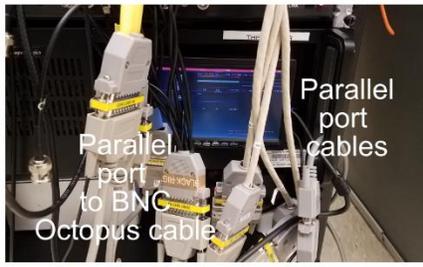
**a**



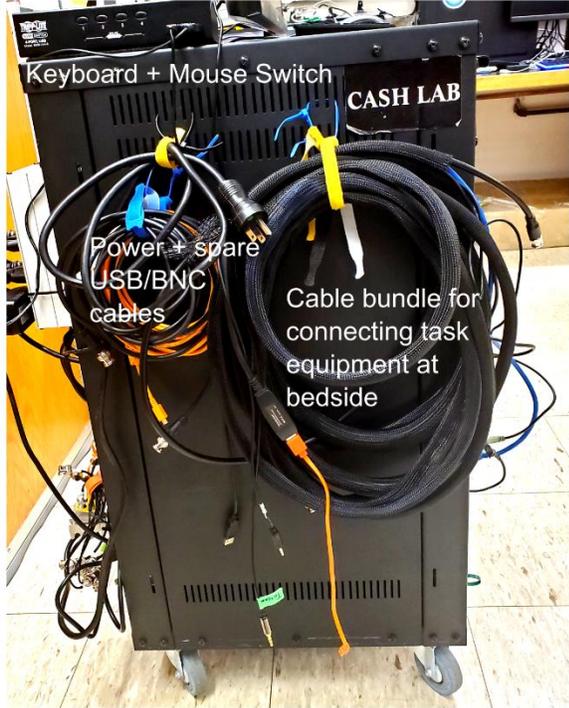
**b**



**c**



**d**

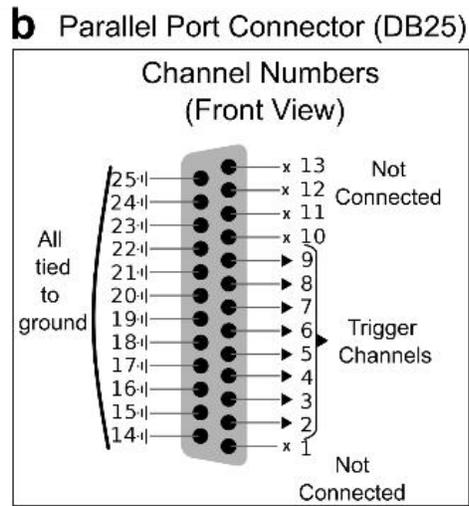
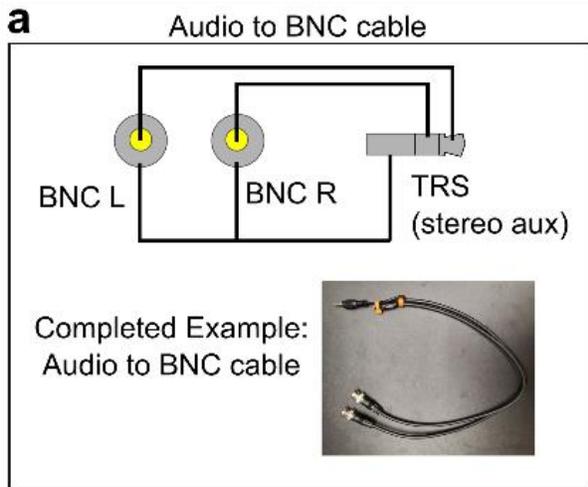


163 **Supplementary Figure 1. Recording system and set up.** **a.** Wiring diagram of the recording  
164 system allowing both recording of neural activity with Neuropixels probes and performing  
165 cognitive tasks. **b.** Images of the recording system or electrophysiological rig. **c.** Additional cable  
166 images allowing for analog channel wiring for synchronization. **d.** Side-view of the set up with  
167 additional cabling.

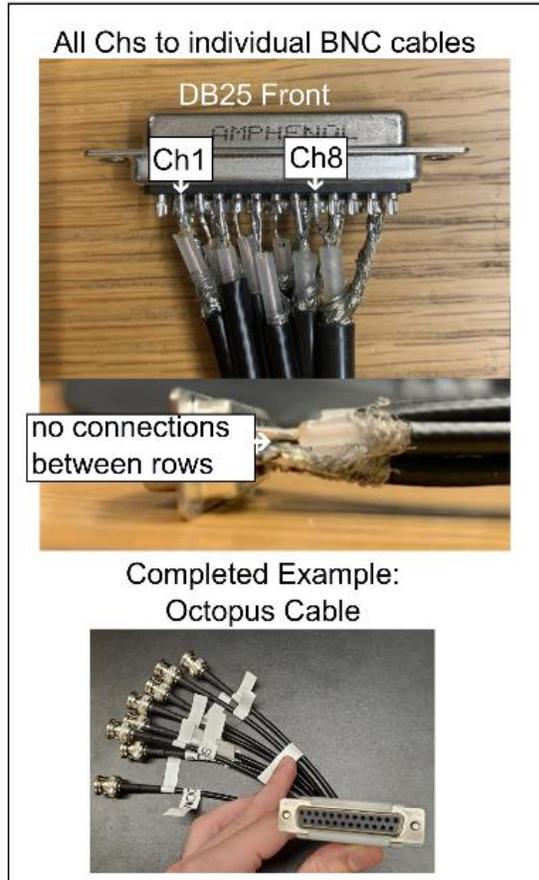
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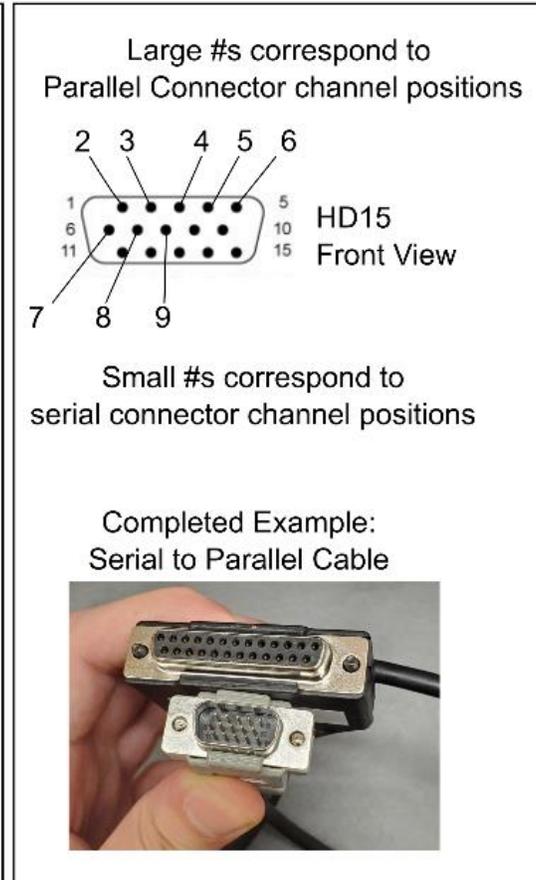
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**c** Parallel to BNC ("Octopus") Cable



**d** Serial to Parallel Cable



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172 **Supplementary Figure 2. Custom cable schematics and examples.** a. Simplified schematic

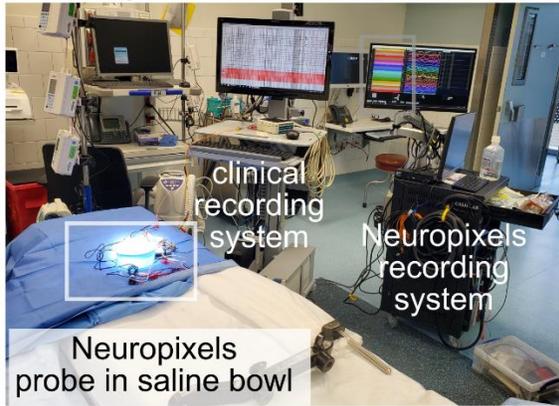
173 showing connections between BNC cable signal wires (gold), shielding (gray) and TRS

174 connector channels in our custom audio to BNC cables used to connect audio devices to I/O  
175 modules. **b.** Parallel port connector schematic showing channels used by Presentation PC  
176 parallel port output to send TTL signals. Connector positions 2-9 are equivalent to TTL channels  
177 1-8, respectively. **c.** Closeup of soldered parallel to BNC (“octopus”) cable connections to  
178 illustrate proper signal and ground/shielding connections. **d.** Schematic of serial port connector  
179 and corresponding connector positions on parallel port used by presentation PC to send TTLs to  
180 the clinical recording system (Natus).

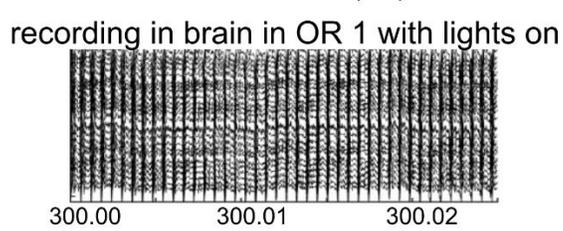
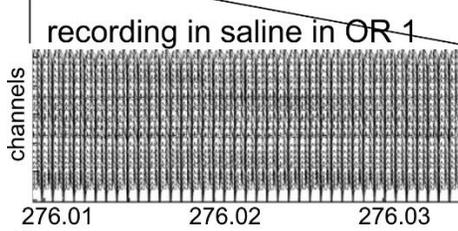
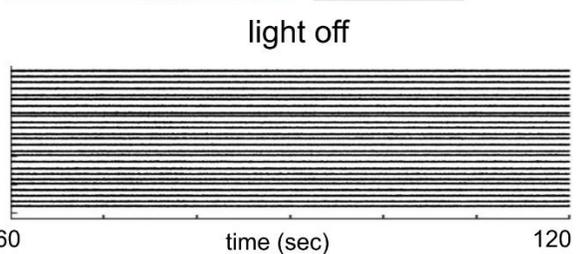
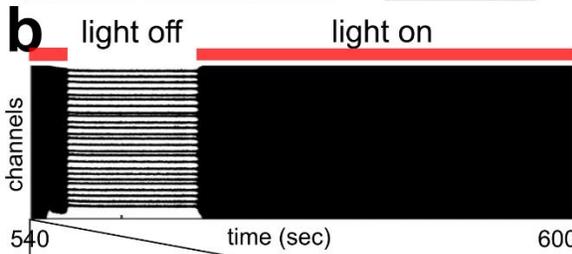
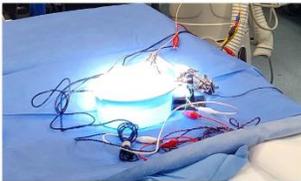
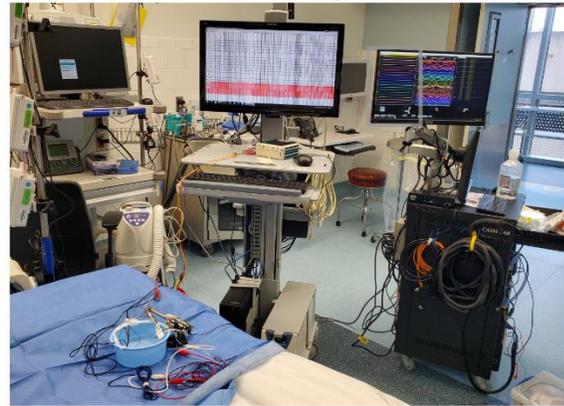
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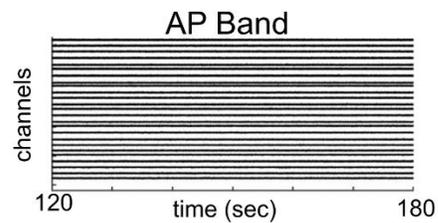
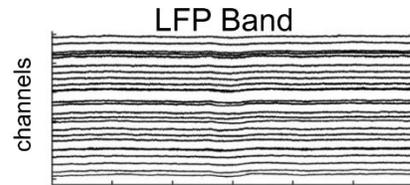
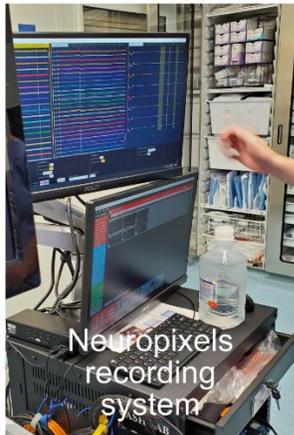
**a** Operating room 1: overhead OR light on with added noise



Operating room 1: overhead OR light off

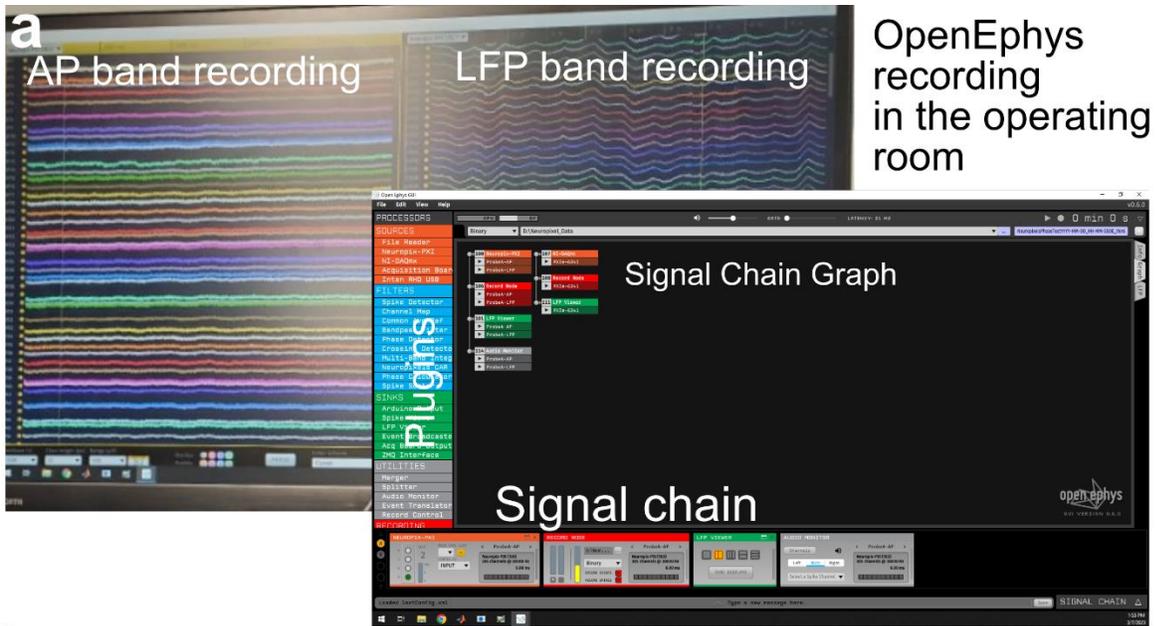


**c** Operating room 2: overhead OR light on with no added noise



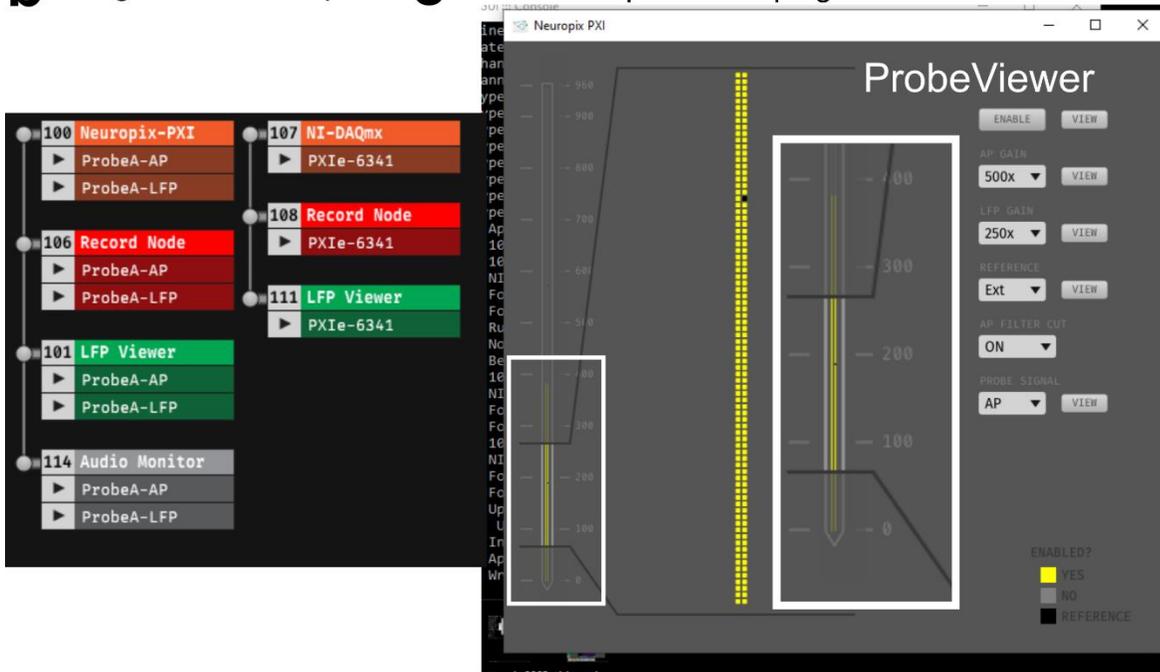
184 **Supplementary Figure 3. Pre-procedure day noise testing in OR and effect of different**  
185 **overhead light sources on Neuropixels recordings. a.** Set up in Operating room 1 without a  
186 patient, including the Neuropixels probe in saline with ground and reference, connected to the  
187 recording system. Left: with the overhead OR light on with increased noise evident from the  
188 recordings (zoomed in below). Right: with the overhead OR light (the moveable large lamp) off.  
189 Note the same recordings that are much cleaner. **b.** The recordings in saline (top and bottom  
190 left) and in brain with and without the overhead lamp on as indicated by the labels. **c.** Same  
191 recording set up in Operating Room 2 with the overhead lamp (OR light) on and directed at the  
192 Neuropixels probe. The high frequency noise is not evident in these recordings at the same  
193 settings.

194



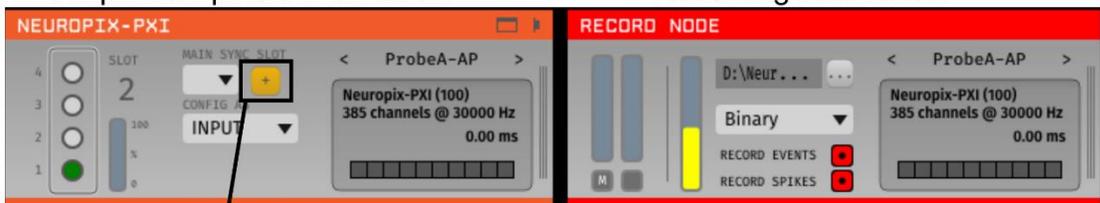
**b** Signal Chain Graph

**c** Neuropixels PXI plugin window



**d** Acquisition probe information

Recording information

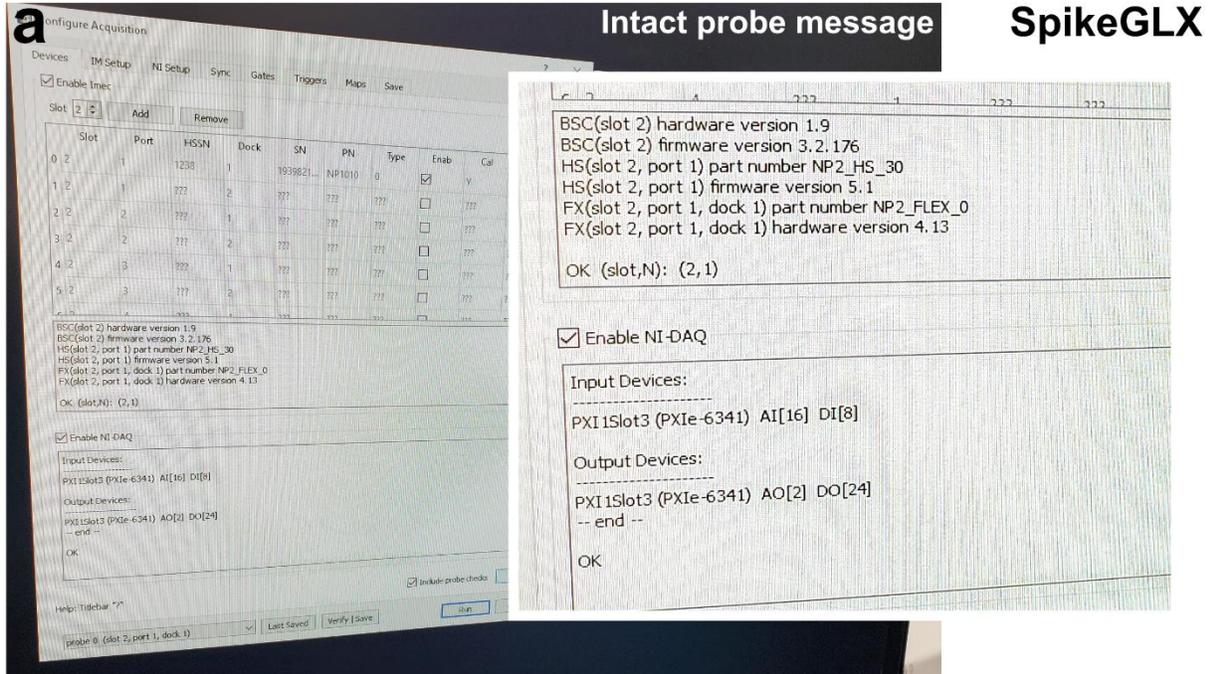


195 Turning on SMA input on IMEC board for TTL input

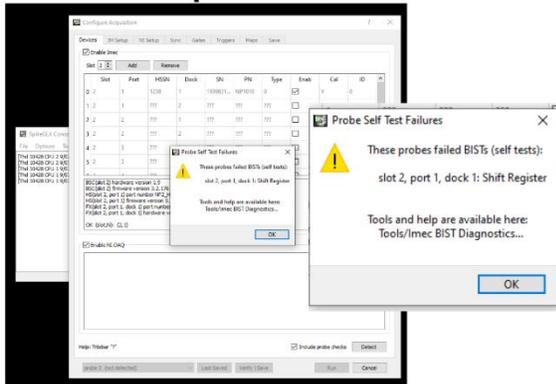
196 **Supplementary Figure 4. Open Ephys Software and set up.** a. Photographed recording in

197 the operating room including different windows showing the action potential (AP) recording  
198 band, the local field potential (LFP) band, and other Open Ephys<sup>4</sup> display features including the  
199 signal chain with ongoing recording and synchronization of the recorded signals. **b.** A  
200 screenshot of Open Ephys software showing the signal chain used for Neuropixels recordings in  
201 the OR as seen in the “graph” tab of the main window. **c.** Screenshot of the Neuropixels PXI  
202 window to view the current channel map/reference scheme for the connected probe. Squares in  
203 yellow are activated electrode sites. **d.** Screenshots of the Record Node plugin in Open Ephys  
204 showing information on the data acquisition as well as the button used to turn ‘on’ the SMA input  
205 to record the TTLs from the task.

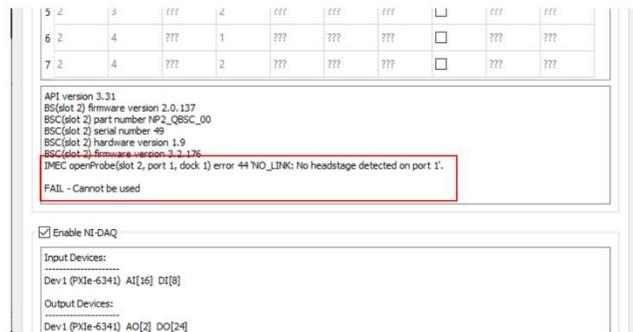
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**b** Error message with probe fracture



**c** Error message when the USB is disconnected



207  
 208 **Supplementary Figure 5 Messages and information using SpikeGLX. a.** SpikeGLX dialog  
 209 box readout when an intact probe is connected to the IMEC PXI device and the "Detect"  
 210 is selected. **b.** Error popup dialog when a fractured probe is connected and the "Detect"  
 211 is selected. **c.** Dialog box output when the USB C cable is detached from the IMEC PXI device.

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